

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Uniphos Enterprises Limited
2.	Scrip Code/Name of Scrip/Class of Security: BSE- 500429, NSE SYMBOL - UNIENTER / Equity
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 30th September,2023
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :03/10/2023 NSDL : 30/09/2023 CDSL :30/09/2023

Uniphos Enterprises Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	5	51306595	0	0	51306595	73.7764	51306595	0	51306595	73.7738	0	73.7764	0	0	0	0	51306595
(B)	Public	12090	18236754	0	0	18236754	26.2236	18236754	0	18236754	26.2226	0	26.2236	0	0	NA	NA	17849835
(C)	Non Promoter - Non Public	2	0	0	2500	2500	0.0000	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C1)	Shares Underlying DRs	2	0	0	2500	2500	0	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
	Total	12097	69543349	0	2500	69545849	100.0000	69545849	0	69545849	100.0000	0	100.0000	0	0	0	0	69158130

GENERATED ON :03/10/2023

NSDL : 30/09/2023

CDSL :30/09/2023

Uniphos Enterprises Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)		(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family		2	330423	0	0	330423	0.4751	330423	0	330423	0.4751	0	0.4751	0	0	0	0	330423
	Shilpa P Sagar	Promoter Group	1	329123	0	0	329123	0.4733	329123	0	329123	0.4732	0	0.4733	0	0	0	0	329123
	Varun Jaidev Shroff	Promoter Group	1	1300	0	0	1300	0.0019	1300	0	1300	0.0019	0	0.0019	0	0	0	0	1300
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(d)	Any Other (Specify)		2	50975672	0	0	50975672	73.3006	50975672	0	50975672	73.2979	0	73.3006	0	0	0	0	50975672
	Bodies Corporate		2	50975672	0	0	50975672	73.3006	50975672	0	50975672	73.2979	0	73.3006	0	0	0	0	50975672
	Nerka Chemicals Pvt Ltd	Promoter	1	50966612	0	0	50966612	73.2875	50966612	0	50966612	73.2849	0	73.2875	0	0	0	0	50966612
	R Shroff Consultants Private Limited	Promoter Group	1	9060	0	0	9060	0.0130	9060	0	9060	0.0130	0	0.0130	0	0	0	0	9060
	Sub Total (A)(1)		4	51306095	0	0	51306095	73.7757	51306095	0	51306095	73.7731	0	73.7757	0	0	0	0	51306095
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	500
	Tania Jaidev Shroff	Promoter Group	1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	500
(b)	Government		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0	0	0	0
	Sub Total (A)(2)		1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	500
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		5	51306595	0	0	51306595	73.7764	51306595	0	51306595	73.7738	0	73.7764	0	0	0	0	51306595

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

- Note :
- (1) PAN would not be displayed on website of Stock Exchange(s)
 - (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	Sub-categorization of shares			
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	Shareholding(No. of shares) under		
								Class eg: X	Class eg: Y	Total									Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)															
1	Institutions (Domestic)																				
(a)	Mutual Fund	3	2260	0	0	2260	0.0032	2260	0	2260	0.0032	0	0	NA	NA	2260	0	0	0		
(b)	Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(c)	Alternate Investment Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(d)	Banks	5	1100	0	0	1100	0.0016	1100	0	1100	0.0016	0	0	NA	NA	1100	0	0	0		
(e)	Insurance Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(f)	Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(G)	Asset Reconstruction Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(h)	Sovereign Wealth Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(i)	NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(j)	Other Financial Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(k)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
	Sub Total (B)(1)	8	3360	0	0	3360	0.0048	3360	0	3360	0.0048	0	0	NA	NA	3360	0	0	0		
2	Institutions (Foreign)																				
(a)	Foreign Direct Investment	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(b)	Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(c)	Sovereign Wealth Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(d)	Foreign Portfolio Investors Category I	11	7476630	0	0	7476630	10.7510	7476630	0	7476630	10.7506	0	0	NA	NA	7476630	0	0	0		
	Ares Diversified	1	3171572	0	0	3171572	4.5606	3171572	0	3171572	4.5604	0	0	NA	NA	3171572					
	Arial Holdings 1	1	939602	0	0	939602	1.3511	939602	0	939602	1.3511	0	0	NA	NA	939602					
	Acacia Partners, Lp	1	910000	0	0	910000	1.3085	910000	0	910000	1.3085	0	0	NA	NA	910000					
	Acacia Institutional Partners, Lp	1	720000	0	0	720000	1.0353	720000	0	720000	1.0353	0	0	NA	NA	720000					
	Gymkhana Partners L.P.	1	718951	0	0	718951	1.0338	718951	0	718951	1.0338	0	0	NA	NA	718951					
(e)	Foreign Portfolio Investors Category II	2	3469245	0	0	3469245	4.9886	3469245	0	3469245	4.9884	0	0	NA	NA	3469245	0	0	0		
	Resonance Opportunities Fund	1	2535307	0	0	2535307	3.6456	2535307	0	2535307	3.6455	0	0	NA	NA	2535307					
	Eos Multi Strategy Fund Ltd	1	933938	0	0	933938	1.3430	933938	0	933938	1.3429	0	0	NA	NA	933938					
(f)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(g)	Any Other (Specify)	4	2650	0	0	2650	0.0038	2650	0	2650	0.0038	0	0	NA	NA	1800	0	0	0		
	Foreign Institutional Investors	3	850	0	0	850	0.0012	850	0	850	0.0012	0	0	NA	NA	0	0	0	0		
	Foreign Bank	1	1800	0	0	1800	0.0026	1800	0	1800	0.0026	0	0	NA	NA	1800	0	0	0		
	Sub Total (B)(2)	17	10948525	0	0	10948525	15.7435	10948525	0	10948525	15.7429	0	0	NA	NA	10947675	0	0	0		
3	Central Government/ State Government(s)																				
(a)	Central Government / President of India	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(b)	State Government / Governor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
	Sub Total (B)(3)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0	0	0	0		
4	Non-Institutions																				
(a)	Associate companies / Subsidiaries	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					
(b)	Directors and their relatives (excluding Independent Directors and nominee Directors)	6	34627	0	0	34627	0.0498	34627	0	34627	0.0498	0	0	NA	NA	34627	0	0	0		
(c)	Key Managerial Personnel	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	NA	NA	0					

(D)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	1	360	0	0	360	0.0005	360	0	360	0.0005	0	0.0005	0	0	NA	NA	360	0	0	0
(E)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0			
(f)	Investor Education and Protection Fund (IEPF)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0			
(g)	i. Resident Individual holding nominal share capital up to Rs. 2 lakhs.	11298	4631883	0	0	4631883	6.6604	4631883	0	4631883	6.6602	0	6.6604	0	0	NA	NA	4357786	0	0	0
(h)	ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	3	1547775	0	0	1547775	2.2256	1547775	0	1547775	2.2255	0	2.2256	0	0	NA	NA	1547775	0	0	0
	Gaurav N Lodha		1034100	0	0	1034100	1.4870	1034100	0	1034100	1.4869	0	1.4870	0	0	NA	NA	1034100			
(i)	Non Resident Indians (NRIs)	449	339592	0	0	339592	0.4883	339592	0	339592	0.4883	0	0.4883	0	0	NA	NA	227620	0	0	0
(j)	Foreign Nationals	1	62	0	0	62	0.0001	62	0	62	0.0001	0	0.0001	0	0	NA	NA	62	0	0	0
(k)	Foreign Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0			
(l)	Bodies Corporate	84	327999	0	0	327999	0.4716	327999	0	327999	0.4716	0	0.4716	0	0	NA	NA	327999	0	0	0
(m)	Any Other (Specify)	223	402571	0	0	402571	0.5789	402571	0	402571	0.5789	0	0.5789	0	0	NA	NA	402571	0	0	0
	Trusts	2	18120	0	0	18120	0.0261	18120	0	18120	0.0261	0	0.0261	0	0	NA	NA	18120	0	0	0
	Body Corp-Ltd Liability Partnership	4	3400	0	0	3400	0.0049	3400	0	3400	0.0049	0	0.0049	0	0	NA	NA	3400	0	0	0
	Hindu Undivided Family	212	312212	0	0	312212	0.4489	312212	0	312212	0.4489	0	0.4489	0	0	NA	NA	312212	0	0	0
	Unclaimed Shares	1	67936	0	0	67936	0.0977	67936	0	67936	0.0977	0	0.0977	0	0	NA	NA	67936	0	0	0
	Clearing Member	4	903	0	0	903	0.0013	903	0	903	0.0013	0	0.0013	0	0	NA	NA	903	0	0	0
	Sub Total (B)(4)	12065	7284869	0	0	7284869	10.4753	7284869	0	7284869	10.4749	0	10.4753	0	0	NA	NA	6898800	0	0	0
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+b(4)	12090	18236754	0	0	18236754	26.2236	18236754	0	18236754	26.2226	0	26.2236	0	0	NA	NA	17849835	0	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares	%
0		

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
319	67936

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified

(5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares) under the following sub-categories:

(i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative(i.e. Director) on the board of the listed entity.

(ii) Shareholder who have entered into shareholder agreement with the listed entity.

(iii) Shareholders acting as persons in concert with promoters.

Uniphos Enterprises Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder	2	0	0	2500	2500	0.0036	2500	0	2500	0.0036	0	0.0036	0	0	NA	NA	1700
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021	0	0	0		0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	2	0	0	2500	2500	0.0036	2500	0	2500	0.0036	0	0.0036	0	0	NA	NA	1700

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII)is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

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Uniphos Enterprises Limited
Table V - Significant Beneficial Owners

Sr. No.	Details of the SBO			Details of the registered owners			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation/ acquisition of significant beneficial interest
	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
							Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		Nerka Chemicals Pvt Ltd	India		73.2849	73.2849	73.2849	No	No	11-11-2010
2	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		R Shroff Consultants Pvt Ltd	India		0.013	0.013	0.013	No	No	11-11-2010
3	Varun Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Varun Jaidev Shroff	United Kingdom		0.0019	0.0019	0.0019	No	No	01-04-2004
4	Tania Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Tania Jaidev Shroff	United Kingdom		0.0007	0.0007	0.0007	No	No	01-10-2006

GENERATED ON :03/10/2023

NSDL : 30/09/2023

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Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	16.30
As on the end of previous 1st quarter	100.00	16.21
As on the end of previous 2nd quarter	100.00	16.11
As on the end of previous 3rd quarter	100.00	15.96
As on the end of previous 4th quarter	100.00	15.91

Notes :-

1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

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